



ENT & TRACEMENT						PTO/SB/21 (0
			Application Number		10/587	,744
TRANSMITTAL FORM			Filing Date		July 28	3, 2006
			First Named Inventor		Shunp	ei YAMAZAKI et al.
			Group Art Unit			
(to be used for all corresp	ondence after in	itial filing)	Examiner Name			
Total Number of Pages in Th	is Submission		Attorney Docket Number	er	0756-7	782
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Fee Transmittal Form  Fee Attached  Drawing  Declaration  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority  Terming  Terming  Request  Request		ation and Power of by ing-related Papers on to Convert to a onal Application of Attorney, Revocation e of Correspondence is all Disclaimer st for Refund cumber of CD(s)	y over	Appeal of Appeal (Appeal (Appeal Propried Status Other I 1. 2. 3. 4. 5. 6.	Enclosures  rized to charge any addition to Deposit Account No. 5	
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Date September 14, 2006						_
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PTO/SB/21 (08-00)

	Application Number	10/587,744	
TRANSMITTAL	Filing Date	July 28, 2006	_
FORM	First Named Inventor	Shunpei YAMAZAKI et al.	
	Group Art Unit		
sed for all correspondence after initial filing)	Examiner Name		_

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Total Number of Pages in This Submission			Attorney Docket Number	0756	-7782		
ENCLOSURES (check all that apply)							
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)		Assignment Papers (for an Application)  Drawing(s)  Declaration and Power of Attorney  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)		After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosures  1. 2. 3. 4. 5. 6.			
Response to Missing Parts/ Incomplete Application Response to Missing Parts		Remarks  The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-					
under 37 CFR 1.52 or	1.53	2280 for the above identified docket number.					
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Group Art Unit:
Shunpei YAMAZAKI et al.	)	Examiner:
Serial No. 10/587,744	)	CERTIFICATE OF MAILING I hereby certify that this correspondence is
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For: METHOD FOR MANUFACTURING	)	Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450.
THIN FILM INTEGRATED CIRCUIT,	)	Alexandria, VA 22313-1450, on September 14, 2006.
AND ELEMENT SUBSTRATE	)	adul M Stamper
		<del></del>

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

- U.S. Patent Publication No. 2001/0053559 is in the family of JP 2001-284342.
- U.S. Patent Nos. 5,378,536 and 5,389,438, EP 0 443 263 and JP 2992092 are in the family of JP 04-351685.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

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Substitute	e for form 1449A/PTO				Complete if Known					
INFORMATION DISCLOSURE				Application Numbe	er KIBE		10/587,744			
				Filing Date	7 4		07/28/2006			
SIAI	STATEMENT BY APPLICANT (use as many sheets as necessary)			First Named Inven			Shunpei YAMAZAKI et al.			
				Group Art Unit	SEP 1 8 2006	F				
			Examiner Name	13	E					
Sheet	1	of	1	Attorney Docket Nu	mberly .	3/	0756-7782			

	 		U.S. PATENT DOCUMEN	re	- · · · · · · · · · · · · · · · · ·
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Number Kind (if kno		Document	MM-DD-YYYY	
	2001/0053559		Nagao et al.	12/20/2001	
	2003/0032210		Takayama et al.	02/13/2003	
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	5,378,536		Miller et al.	01/03/1995	
	5,389,438		Miller et al.	02/14/1995	
	6,168,829		Russ et al.	01/02/2001	

				OREIGN PATENT DOC	UMENTS				
Examiner Initials <sup>®</sup>	Cite No.1	Foreign Patent Document  Kind Code <sup>3</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document	Document		T <sup>€</sup>		
		JP	04-351685		12/07/1992		Abst.		
		JP	06-299127		10/25/1994		Abst.		
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		EP	0 443 263		08/28/1991		Eng.		
		EP	0 607 709		07/27/1994		Eng.		
		wo	2005/057658		06/23/2005		Eng		
			OTHER PRIO	R ART – NON PATENT LITE	RATURE DOCUMENTS				
Examiner Initials	Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	NIKKEI ELECTRONICS, "Sense of Crisis" is a Trigger. Ignited Evolution of a Sesame-Grain Sized Chip, Leading Trends, November 18, 2002, pp. 67-76.								

Examiner	Date
Signature	 Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.